

**TITLE: SYSTEM AND METHOD FOR SCENE IMAGE
ACQUISITION AND SPECTRAL ESTIMATION USING A
WIDE-BAND MULTI-CHANNEL IMAGE CAPTURE**

INVENTORS: FRANCISCO HIDEKI IMAI & ROY STEPHEN BERNS

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The invention relates generally to methods for capturing multi-spectral

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Another problem of using interference filters in image acquisition is that the surfaces of the interference filters are not exactly coplanar which results in spatial shifts and distortions within the captured image. Further, there may be inter-reflections caused by light bouncing between the interference filters and the camera lens. As a result, these technical problems have prevented the realization of practical multi-spectral imaging using interference filters without a considerable degree of expertise in multi-spectral imaging as well as complex image processing.

A method for multi-spectral image capture of a first scene in accordance with one embodiment of the present invention includes acquiring a first series of images of the first scene with one or more image acquisition systems and filtering each of the first series of images of the scene with a different non-interference filter from a set of non-interference filters. Each of the image acquisition systems has two or more color channels and each of the channels has a different spectral sensitivity. Each of the non-interference filters in the set of the non-interference filters has a different spectral transmittance.

25 An apparatus for multi-spectral image capture of a first scene in accordance with another embodiment of the present invention includes one or more image acquisition systems and a set of non-interference filters. Each of the image acquisition systems has two or more color channels with each of the channels having a different spectral sensitivity. Each of the image acquisition systems also acquires a first series of images of the first scene. Each of the non-interference filters has a different spectral transmittance, is positioned between the scene and the image acquisition system, and filters a different image in series of
30 images.

A method for multi-spectral image capture of a first scene in accordance with another embodiment of the present invention includes providing two or more image acquisition systems which each have at least one spectrally unique color channel and acquiring a first series of images of the first scene. Each of the
5 images of the first series of images is acquired with a different one of the image acquisition systems.

An apparatus for multi-spectral image capture of a first scene in accordance with another embodiment of the present invention includes two or
10 more image acquisition systems. Each of the image acquisition systems has at least one spectrally unique color channel and each image of the first series of images is acquired with a different one of the image acquisition systems.

A method for multi-spectral image capture of a first scene in accordance with yet another embodiment of the present invention includes acquiring a first series of images of the first scene with one or more image acquisition systems and illuminating each image of the first series of images with a different illuminant from a set of two or more illuminants. Each of the image acquisition systems has two or more color channels with each of the channels having a different spectral
15 sensitivity. Each illuminant has a different spectral power distribution.
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An apparatus for multi-spectral image capture of a first scene in accordance with yet another embodiment of the present invention includes an image acquisition system and a set of two or more illuminants. Each image
25 acquisition system has two or more color channels with each of the color channels having a different spectral sensitivity. Each of the illuminants has a different spectral power distribution and illuminates one of the images of the first scene.

A method for estimating spectral reflectances in accordance with yet
30 another embodiment of the present invention includes a few steps. Samples of known spectral reflectances which are representative of colorants of a first scene are obtained. A first multi-spectral description of the first scene from the samples

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is acquired. A transformation which maps channels of the first multi-spectral description of the first scene back to the known spectral reflectances is derived. A second multi-spectral description of a second scene is acquired. The transformation is applied to the second multi-spectral description of the second scene to generate spectral reflectances for the second scene.

A system for estimating spectral reflectances in accordance with yet another embodiment of the present invention includes samples, at least one image acquisition system, and a spectral image processing system. The samples have known spectral reflectances which are representative of colorants of a first scene. The image acquisition system obtains a first multi-spectral description of the first scene from the samples and a second multi-spectral description of a second scene. The spectral image processing system derives a transformation which maps channels of the first multi-spectral description of the first scene back to the known spectral reflectances and applies the transformation to the second multi-spectral description of the second scene to generate spectral reflectances of the second scene.

The present invention provides a number of advantages, including providing accurate spectral estimation that overcomes the problems of metamerism inherent to traditional trichromatic digital and chemical photography. With the present invention, an excellent color match can be achieved under all types of illumination for all observers.

Additionally, the present invention makes multi-spectral image acquisition faster than prior multi-spectral image acquisition. The present invention is faster because it captures multiple channels of the scene each time instead of the single channel capture in the narrow-band image acquisition.

Further, the present invention makes multi-spectral image acquisition less expensive than the prior multi-spectral image acquisition. When absorption filters are used in accordance with one embodiment of the present invention, the present

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invention requires fewer filters than prior methods with narrow-band interference filters and the absorption filters are generally less expensive than interference filters. When multi-illuminants are used in accordance with another embodiment of the present invention, the present invention can be inexpensively implemented
5 because these illuminants are inexpensive and readily available.

Even further, the present invention provides a multi-spectral image capture system and method which is easy to implement. The present invention can be used by people without a high-degree of expertise in multi-spectral image
10 acquisition by simply switching the filter in front of the camera in one embodiment or by changing the illumination for each image in another embodiment. As a result, the present invention could easily be used for a variety of different practical purposes, such as producing consumer catalogs with accurate color reproduction of goods being sold and archiving artwork in museums.
15 Further, the present invention overcomes the inherent problems related to spectral reconstruction using narrow-band interference filters required in prior multi-spectral image acquisition systems and methods.

BRIEF DESCRIPTION OF THE DRAWINGS

20 FIG. 1A is a block diagram of a multi-spectral acquisition and spectral reflectance estimation system in accordance with one embodiment of the present invention;

FIG. 1B is a block diagram of a multi-spectral acquisition and spectral reflectance estimation system in accordance with another embodiment of the
25 present invention;

FIG. 2A is a diagram of a scene with objects;

FIG. 2B is a diagram of a scene with targets;

FIG. 3 is a flow chart of a multi-spectral acquisition and spectral reflectance estimation method in accordance with one embodiment of the present
30 invention;

FIG. 4 is a flow chart of a multi-spectral image capture module for the system in accordance with one embodiment of the present invention;

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FIG. 6 is a flow chart of a system characterization module in accordance with one embodiment of the present invention.

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Multi-spectral acquisition and spectral reflectance estimation systems 10(1) and 10(2) in accordance with different embodiments of the present invention are illustrated in FIGS. 1A and 1B. The multi-spectral acquisition and spectral reflectance estimation systems 10(1) and 10(2) include at least one image acquisition system 12(1)-12(n) and a spectral image processing system 14. The multi-spectral acquisition and spectral reflectance estimation systems 10(1) and 10(2) may also include at least one non-interference filter 16(1)-16(n) and/or at least one illuminant 18(1)-18(n). The present invention provides a number of advantages including providing a system and method which gives an accurate spectral reflectance estimation that overcomes the problems of metamerism and gives a system and method for multi-spectral image acquisition that is faster, less expensive, and easier to use than prior systems and methods.

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Referring more specifically to FIGS. 1A and 1B, in these particular embodiments the multi-spectral acquisition and spectral reflectance estimation systems 10(1) and 10(2) each include a plurality of image acquisitions systems 12(1)-12(n), although the systems 10(1) and 10(2) each could have only one image acquisition system 12. An image acquisition or capture system 12 is any device that can record optical signals in electronic, physical or chemical form, such as a digital camera, a trichromatic digital camera, a photographic camera

loaded with color negative film, a photographic camera loaded with color positive film, a color video camera, or a multi-spectral image capture system by way of example only.

5 Image acquisition systems 12(1)-12(n) capture images or image views 48(1)-48(n) of a scene 20 under given conditions, such as digital counts. The images or image views 48(1)-48(n) are representations of the scene 20. Objects 22(1)-22(n) or targets 24(1)-24(n) within the scene 20 can comprise a variety of different physical entities, such as human beings, other life forms, inanimate
10 things and/or their backgrounds. Some examples of scenes with objects 22(1)-22(n) and targets 24(1)-24(n) are illustrated in FIGS. 2A and 2B.

 The multi-spectral acquisition and spectral reflectance estimation systems 10(1)-10(2) also each include a spectral image processing system 14. The spectral
15 image processing system 14 includes a processor or central processing unit ("CPU") 26, a memory 28, and one or more input/output (I/O) devices 30 which are all coupled together by a bus 32, although these systems can contain multiple processors, memories, I/O devices, and/or other components as needed or desired. Since the components and general operation of processing systems are well
20 known to those of ordinary skill in the art, they will not be discussed here.

 In these particular embodiments shown in FIGS. 1A and 1B, the memory 28 is programmed with the method for multi-spectral acquisition and spectral reflectance estimation in accordance with the present invention and which is
25 described herein. The program or programs stored in memory 28 are executed by the CPU 26 to impart data retrieval, display, processing, analyzing and access functionality in the system 14. Typically, the memory 28 will also store other programs to be executed by the CPU 26. Any type of storage device that is coupled to the CPU 26, such as a RAM or ROM, or a disk or CD ROM drive
30 which can receive, read data from, and/or write data to a portable memory device, such as a floppy disk, hard disk, or CD ROM, can be used as the memory 28.

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The multi-spectral acquisition and spectral reflectance estimation system 10(1) shown in the embodiment in FIG. 1A also includes a set of non-interference color filters 16(1)-16(n). In this particular embodiment, each of the non-interference filters 16(1)-16(n) is located or positioned between the scene 20 and an aperture, opening, or other imaging surface for one of the image acquisition systems 12(1)-12(n). Each of the non-interference filters 16(1)-16(n) filter the images of the scene being captured or acquired. The non-interference filters 16(1)-16(n), may be connected to or spaced from each of the image acquisition systems 12(1)-12(n). Although a set of separate non-interference filters 16(1)-16(n) is shown, other types and numbers of non-interference filters can be used. For example, a single filter system, such as a single color filter wheel, could be used. In one particular embodiment, at least one of the non-interference color filters has a spectrally wide bandpass, typically in the range of 100 nm to 200 nm at half height. The spectrally wide bandpass for the non-interference color filter provides a better signal-noise ratio. A variety of different types of non-interference filters 16(1)-16(n), such as absorbance filters, writable filters and liquid crystal tunable filters, can be used. In particular, absorbance filters have been found to be useful because they are less expensive and easier to use than interference filters.

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The multi-spectral acquisition and spectral reflectance estimation system 10(2) shown in the embodiment in FIG. 1B includes a set of illuminants 18(1)-18(n). In this particular embodiment, each of the illuminants 18(1)-18(n) is located or positioned to illuminate the scene being captured by one of the image acquisition systems 12(1)-12(n). The illuminants 18(1)-18(n), may be connected to or spaced from each of the image acquisition systems 12(1)-12(n). Although a set of separate illuminants 18(1)-18(n) is shown, other types and numbers of illuminants can be used. For example, a single illumination system which illuminates each of the images being captured differently, e.g at different wavelengths, could also be used.

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Although the multi-spectral acquisition and spectral reflectance estimation systems 10(1) and 10(2) shown in FIGS. 1A and 1B include either a set or plurality of non-interference color filters 16(1)-16(n) or a set of illuminants 18(1)-18(n), the system 10 may include both one or more non-interference filters 16(1) –
5 16(n) and one or more or illuminants 18(1)-18(n) or neither a non-interference filter 16 or an illuminate 18. For example, a multi-spectral acquisition and spectral reflectance estimation system 10 in accordance with another embodiment includes two or more image acquisition systems 12 where each image acquisition system 12 has at least one spectrally unique color channel and each image of a
10 series of images to be captured is acquired with a different one of the image acquisition systems 12, without one or more non-interference filters 16(1)-16(n) or one or more illuminants 18(1)-18(n).

The spectral image processing systems 10(1) and 10(2) may be coupled to
15 a variety of different types of apparatuses or devices 34 to output the multi-spectral image or images, such as a cathode ray tube (“CRT”) to display a reproduction of the scene 20, a printer for a catalog or other printing application, or a memory storage or archive device for archiving artworks. As a result, when these images are reproduced, the colors in the reproduced images will appear to be
20 the same as the original images to all observers under all illuminations.

Referring to FIG. 3, the method in accordance with one embodiment of the present invention is illustrated. As discussed earlier, this method is stored as an executable program in the memory 28 and is executed by the spectral image
25 processing system 14. The method includes a multi-spectral image capture module 36, a system characterization module 38, and a transformation-to-spectral reflectance module 40. Under given conditions 42, such as imaging geometry, illumination and filtering combinations, the multi-spectral image capture module 36 outputs a multi-spectral scene description 44. The system characterization
30 module 38 under the given conditions 42 gives a mapping transformation that is used by the transformation-to-spectral reflectance module 40 in order to convert

the multi-spectral scene description 44 to scene reflectance 46 which can then be stored or be used to reproduced the captured image or images by devices 34.

Referring to FIG. 4, one embodiment of the multi-spectral image capture module 36 which is executed by the spectral imaging processing system 14 is shown. In this particular embodiment, each of the image acquisition systems 12(1)-12(n) capture an image or image view of the scene 20 with the objects 22(1)-22(n), such as the one shown in FIG. 2A, using either a different non-interference filter 16(1)-16(n) or a different illuminant 18(1)-18(n), although other image acquisition systems 12 and methods could be used. For example, a single image acquisition system 12 could be used to capture each image or image view 48(1)-48(n) with the multiple filters 16(1)-16(n) and/or illuminants 18(1)-18(n) shown or with a single filter system (not shown), such as a color wheel filter with multiple filters or a single illumination system (not shown) which can illuminate the image differently for each image capture. The multiple image views captured under a series of given conditions are combined to form a multi-spectral description 44 of the scene 20 or of object 22 or objects 22(1)-22(n) within the scene 20. Signals in the first multi-spectral description may be normalized and adjusted to keep a photometric linear relationship.

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Referring to FIG. 5, one embodiment of the system characterization module 38 which is executed by the spectral image processing system 14 is shown. In this particular embodiment, special targets 24(1)-24(n) with known spectral reflectances or with spectral reflectances which can later be measured are placed in a scene 20, as shown for example in FIG. 2B. These are known as characterization targets which are captured by the image acquisition systems 12(1)-12(n) under the given conditions 42 using either different non-interference filters 16(1)-16(n) or a different illuminants 18(1)-18(n) to create characterization views 50(1)-50(n), although again other image acquisition systems 10 and method could be used such as the one described with reference to FIG. 4. The multiple characterization views 50(1)-50(n) captured under a series of given conditions 42 are combined to form a characterization description 52. The characterization

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description 52 is analyzed by the spectral image processing system 14 along with the known or acquired spectral reflectance data 54 to create a mapping from the characterization description to spectral reflectance, known as a characteristic mapping 56.

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Referring to FIG. 6, one embodiment of the transformation-to-spectral reflectance module 40 which is executed by the spectral image processing system 14 is shown. The characteristic mapping 56 derived under a series of image acquisition or given conditions 42 as described with reference to FIGS. 3 and 5 is applied to a multispectral description of a scene 20 which was captured under the same series of conditions 42 as described with reference to FIGS. 3 and 4. Based on these, a spectral reflectance image 58 of the scene 20 accurate for those objects 22(1)-22(n) within the scene 20, which includes the same colorants of which the characterization targets 24(1)-24(n) comprise, is derived. Accordingly, the present invention accurately reproduces for every pixel of the original image of the scene 20 with objects 22(1)-22(n) the spectral signature identical to that of the corresponding point in the original image of the scene 20. The spectral reflectance image 58 can be used in a variety of applications. For example, image 58 may simply be stored to archive an image, such as a work of art, or can be used for archiving images of artworks, for display on the device 34, such as CRT, or to accurately reproduce the image with a device 34.

The transformation may be performed in a variety of different spaces, such as spectral reflectance space, absorption space, and a new optimized space. If the transformation is performed in new optimized space, the new optimized space may be optimized to derive multi-variate normality of samples or improve spectral estimation accuracy.

Set forth below is a more detail explanation of one example of the process used to obtain the spectral reflectance of an image 58 of a scene 20. In this particular embodiment, the spectral reflectance of each pixel of the images taken from a scene 20 composed of objects 22(1)-22(n), such as the one shown in

FIG. 2B, can be estimated using a priori spectral analysis with direct measurement and imaging of the characterization targets 24(1)-24(n) to establish a relationship between the digital counts and spectral reflectance. In order to relate the digital counts to spectral reflectance, a linear method is used. The spectral radiance, S, of the illuminant in the scene 20, as well as the spectral sensitivities, D, of the image acquisition system 12, the transmittances, F, of the filters 16 and the spectral reflectance, r, of the objects 22(1)-22(n) are measured and the resulting digital counts of the image view, Dc, are extracted from the imaged patches.

10 The multi-spectral image acquisition can be modeled using matrix-vector notation. Expressing the sampled illumination spectral power distribution as

$$S = \begin{pmatrix} S_1 & & 0 \\ & S_2 & \\ 0 & & S_n \end{pmatrix},$$

and the object spectral reflectance as $\mathbf{r}=(r_1, r_2, \dots r_n)^T$, where the index
15 indicates the set of n wavelengths over the visible range and T the transpose matrix, representing the transmittance characteristics of the m filters as columns of **F**

$$\mathbf{F} = \begin{pmatrix} f_{1,1} & f_{1,2} & \dots & f_{1,m} \\ \vdots & \vdots & \dots & \vdots \\ f_{n,1} & f_{n,2} & \dots & f_{n,m} \end{pmatrix}$$

and the spectral sensitivity of the detector as

$$\mathbf{D} = \begin{pmatrix} d_1 & & 0 \\ & d_2 & \\ 0 & & d_n \end{pmatrix},$$

then the captured image is given by $D_c = (DF)^T Sr$, where D_c , represents the digital counts.

5 In this particular example, the spectral reflectance is sampled in the range of 400 nm to 700 nm wavelength in 10 nm intervals resulting in thirty-one samples, although different ranges and different intervals for examples can be used. Accordingly, in this particular example there are thirty-one signals to reconstruct the spectral reflectance of the image 58. However, it is possible to
10 decrease the dimensionality of the problem by performing principal component analysis on the spectral samples. Given a sample population of spectral reflectances, it is possible to identify a small set of underlying basis functions whose linear combinations can be used to approximate and reconstruct members of the populations. Then the reconstructed sample r_i is given by

$$15 \quad \hat{r}_i = \Phi \alpha_i \quad (4)$$

where $\Phi = (e_1 \ e_2 \ \dots e_p)$ are the set of the eigenvectors (principal components) used for the estimation and the coefficients (eigenvalues) associated with the eigenvectors are $\alpha_i = (a_1 \ a_2 \ \dots a_p)^T$ where the index $p \leq n$, and where n is the number of samples used to perform a *priori* principal component
20 analysis. When the eigenvalues are arranged in descending order the fraction of variance explained by the first corresponding p vectors is

$$v_p = \frac{\sum_{i=1}^p a_i}{\sum_{i=1}^n a_i} \quad (5)$$

In this linear method, a set of spectral reflectances r is measured and then a set ϕ of eigenvectors, which typically explain more than 99.9% of the original
25 sample, is calculated by principal component analysis. Next, the set of eigenvalues, α , is calculated by $\alpha = \phi^T r$. The set of digital counts corresponding to the spectral samples can be calculated by the equation

$$D_c = (DF)^T Sr. \quad (6)$$

and a relationship between digital counts and eigenvalues can be
30 established by the equation

$$A = \alpha D_e^T [D_e D_e^T]^{-1} \quad (7)$$

A set of spectral reflectances, \mathbf{r} , of characterization targets 18(1)-18(n) are measured and then the corresponding set of eigenvectors, \mathbf{e} , is calculated by principal component analysis. The set of eigenvalues, α , corresponding to the eigenvectors, \mathbf{e} , is calculated using the spectral reflectances, \mathbf{r} . The same set of patches are imaged as scenes 20 and the multi-spectral description is given of the captured image views 48(1)-48(n) are given by the digital counts. The eigenvectors corresponding to the spectral reflectances are used to derive the characterization mapping 56 given by the transformation matrix A . When the image is captured, using for example an R,G,B trichromatic camera and either a set of non-interference filters 16(1)-16(n) or under different illuminants 18(1)-18(n) as shown in FIGS. 1A and 1B although other systems 10 could be used, the spectral reflectance 58 of each pixel of the image can be calculated using matrix A and equation 4.

Accordingly, in one embodiment a direct matrix transformation from digital counts to the spectral reflectances is derived. An eigenvector analysis is also used to derive the transformation. Further, a Wiener estimate transformation from digital counts to the spectral reflectances which accounts for noise information may also be derived and used.

Thus, the present invention provides a number of advantages by allowing:

1. The possibility of accurate spectral reflectance estimations by overcoming the problems of device metamerism resulting from traditional image digitization using photography and scanning;
2. Overcoming the inherent problems related to spectral reconstruction using narrow-band interference filters;
3. The implementation of a multi-spectral image acquisition system that is faster, less expensive, and easier to use than prior methods and systems.

EXAMPLES

By way of example only, some embodiments of the present invention were implemented and tested for various targets and are described below. In these examples, two different image acquisition systems 10 and three targets 18 were tested. More specifically, the image acquisition systems 10, non-interference filters 16, and illuminants 18 used were a high-resolution trichromatic IBM PRO\3000 digital camera system (3,072 x 4,096 pixels, R, G, B filter wheel, 12 bits per channel that has a 45°/0° imaging configuration using tungsten illumination) and a Kodak DCS560 digital camera (3,040 x 2,008 pixels, built-in R, G, B array sensors, 12 bits per channel). Both IBM PRO\3000 and Kodak DCS560 digital camera systems provide linear TIFF data files. The spectral sensitivities of the IBM PRO\3000 digital camera system were measured, as well as the spectral radiant power of the illuminant used in this imaging system. A Gretag, Macbeth ColorChecker and two paintings as well as their corresponding painted patches were imaged. One of the paintings and its corresponding painting patches were generated using a mixture of GALERIA acrylic paints produced by Winsor & Newton. The acrylic painted patches were made with mixtures of two and three colorants generating 218 patches. The other paint and the corresponding painted patches were generated using post-color paints. The post-color painted patches were made with mixtures of two colorants generating 105 patches. The paint produced using post-color paints, as well as its corresponding patches were coated with Krylon Kamar Varnish that is a non-yellowing protection.

Different combinations of trichromatic signals were obtained from either multi-illuminant or multi-filter approaches. In the multi-filter approach, for both examples, trichromatic signals without filtering, the trichromatic signals with a light-blue filter (Kodak Wratten filter number 38), and the trichromatic signals with very-light-green filter (Kodak Wratten filter number 66) positioned in front of an aperture to the camera lens of the image acquisition systems 12 were combined. For the multi-illuminant approach, the portability of Kodak DCS560 digital camera, was used to combine different trichromatic signals obtained from



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Accordingly, the present invention overcomes some inherent problems of
5 imaging using a traditional monochrome camera combined with interference
filters and reduces the cost and complexity of the image acquisition system while
preserving its colorimetric and spectral accuracy. Additionally, the present
invention makes the image acquisition easier than the traditional monochrome
camera and interference-filter-based multi-spectral acquisition. Further, in one
10 embodiment of the present invention having the conventional trichromatic signal
recorded in the trichromatic-based multimedia imaging devices also makes it
easier to display the image on a CRT through appropriate color management.
Even further, the spectral images generated by the present invention are also
fundamental to the multi-ink printing system that can select a subset of inks that
15 achieve a spectral match between original objects and their printed reproductions.

Having thus described the basic concept of the invention, it will be rather apparent to those skilled in the art that the foregoing detailed disclosure is intended to be presented by way of example only, and is not limiting. Various alternations, improvements, and modifications will occur and are intended to those skilled in the art, though not expressly stated herein. These alterations, improvements, and modifications are intended to be suggested hereby, and are within the spirit and scope of the invention. Accordingly, the invention is limited only by the following claims and equivalents thereto